

DA C #12
(S) \$

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
John C. Harvey and James W. Cuddihy:

Group Art Unit: 2737
Examiner: FAILE, A.
Attorney Docket: 05634.0268

Serial No.: 08/470,051
Filed: June 6, 1995

For: **SIGNAL PROCESSING APPARATUS
AND METHODS**

**ATTN: APPLICATION PROCESSING DIVISION,
SPECIAL PROCESSING & CORRESPONDENCE BRANCH**

Commissioner for Patents and Trademarks
Washington, D.C. 20231

RECEIVED

JAN 4 2001

OFFICE OF PETITIONS

**NOTIFICATION OF CHANGE IN STATUS UNDER 37 C.F.R. § 1.28(B) AND
PAYMENT OF FEE DEFICIENCIES UNDER 37 C.F.R. § 1.28(C)**

Sir:

Upon review of the claims as filed in the above-referenced application and the "field of use" clause in the application's assignee's license contract with a firm that is a large entity, it has come to the applicant's attention that the present application requires large entity status.

Pursuant to 37 C.F.R. § 1.28(b), Attorneys for Applicants hereby provide notification that Personalized Media Communications, L.L.C. ("PMC"), the assignee of the above referenced application Serial No. 08/470,051 does not qualify for small entity status under M.P.E.P. § 509.02 and 37 C.F.R. § 1.9 for paying reduced patent fees for the above referenced application and that the claim for small entity status for the above referenced application Serial No. 08/470,051 is hereby withdrawn.

Enclosed herewith is assignee's check no. 3204 for the recalculation fees of \$1,650.00 to convert the above-referenced application from small entity status in accordance with 37 C.F.R. § 1.28(c). The following table identifies each of applicant's

01/04/2001 SLUANG1 00000074 08470051

01 FC:699

1650.00 DP

Adjustment date: 01/09/2001	08/470051	890.00 DP
01/04/2001 SLUANG1	00000074	960.00 DP
01 FC:699		198.00 DP
Adjustment date: 01/09/2001	08/470051	887.00 DP
09/03/1997 SCHAPPEL	00000052	
01 FC:217		
Adjustment Date: 01/09/2001	08/470051	890.00 DP
02/08/1996 110MG	00000153	960.00 DP
	FC:202	198.00 DP
Adjustment Date: 01/09/2001	08/470051	890.00 DP
02/08/1996 110MG	00000153	960.00 DP
	FC:203	198.00 DP
Adjustment Date: 01/09/2001	08/470051	890.00 DP
01/09/2001 LGIBBS	00000003	887.00 DP
01 FC:117		
02 FC:102		
03 FC:103		
04 FC:197		

submissions to the Patent and Trademark Office ("PTO") necessitating the enclosed payment and the amount to bring the application in conformity to large entity status.

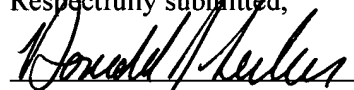
Description of Submission to PTO	Amount Deficient
New application filing:	\$365.00
Preliminary amendments:	\$820.00
Response to first action new claim fees:	
Response to first action extention of time:	\$465.00
Response to second action new claim fees:	
Response to second action extention of time:	
Response to final action new claim fees:	
Response to final action extention of time:	
1.129(a) submission:	
TOTAL	\$1,650.00

Applicants respectfully request the records of the PTO be revised to indicate that the above-referenced application should now be considered the application of a large entity.

The Commissioner is hereby authorized to charge or credit the deposit account of **Hunton & Williams**, Deposit Account No. **50-0206**, for any insufficient funds or overpayments in connection with the filing of the instant papers.

Date: December 29, 2000
HUNTON & WILLIAMS
1900 K Street, N.W.
12th Floor
Washington, D.C. 20006

Respectfully submitted,



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